

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Peter Hans Redweik

Examiner:

(to be assigned)

Serial No.:

10/644,421

Group Art Unit:

(to be assigned)

Filed:

August 20, 2003

Docket:

11200

Title:

FUTURE VALUE ATTRITION FOR LIFE-TIME VALUE FINANCIAL PROCESSING IN A

RELATIONAL DATABASE MANAGEMENT SYSTEM

CERTIFICATE OF MAILING OR TRANSMISSION UNDER 37 CFR 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, XA 22313/1450 on October 28, 2003.

By: // / / / / / / / / / Name: George H. Gates

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

We are transmitting herewith the attached:

Transmittal sheet, in duplicate, containing a Certificate of Mailing under 37 CFR 1.8.

Information Disclosure Statement and Form PTO-1449.

Cited Reference(s).

Return postcard.

Please consider this a PETITION FOR EXTENSION OF TIME for a sufficient number of months to enter these papers, if appropriate.

Please charge all fees to Deposit Account No. 50-1673 of NCR Corporation (the assignee of the present application). A duplicate of this paper is enclosed.

GATES & COOPER LLP

Howard Hughes Center 6701 Center Drive West, Suite 1050 Los Angeles, CA 90045 (310) 641-8797

Name: George H. Gates

Reg. No.: 33,500

GHG/mrj



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Name

Vame: George H. Gates

INFORMATION DISCLOSURE STATEMENT (37 C.F.R. §1.97(b))

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

With regard to the above-identified application, the items of information listed on the enclosed Form 1449 are brought to the attention of the Examiner.

This statement should be considered because it is submitted before the mailing date of a first Office Action on-the-merits. Accordingly, <u>no fee is due</u> for consideration of the items listed on the enclosed Form 1449.

In accordance with 37 C.F.R. 1.491(b), the above application was filed *after* June 30, 2003 and, therefore, copies of the U. S. publication and U. S. patents listed on the enclosed Form 1449 are *not* being provided herewith. However, copies of the non-patent literature listed under "Other Documents" are being submitted herewith.

No representation is made that a reference is "prior art" within the meaning of 35 U.S.C. §§ 102 and 103 and Applicants reserve the right, pursuant to 37 C.F.R. § 1.131 or otherwise, to

establish that the reference(s) are not "prior art". Moreover, Applicants do not represent that a reference has been thoroughly reviewed or that any relevance of any portion of a reference is intended.

Consideration of the items listed is respectfully requested. Pursuant to the provisions of M.P.E.P. 609, it is requested that the Examiner return a copy of the attached Form 1449, marked as being considered and initialed by the Examiner, to the undersigned with the next official communication.

Please direct any response or inquiry to the below-signed attorney at (310) 641-8797.

Respectfully submitted,

GATES & COOPER LLP Attorneys for Applicant(s)

Howard Hughes Center 6701 Center Drive West, Suite 1050 Los Angeles, California 90045

(310) 641-8797

Rv.

George H. Gat

Reg. No.: 33,500

Date: October 28, 2003

GHG/mri

Enclosures: Form PTO-1449

References

Form 1449*

INFORMATION DISCLOSURE STATEMENT

IN AN APPLICATION

Docket Number: 11200

Applicant: Peter Hans Redweik

Filing Date: August 20, 2003

Group Art Unit: (to be assigned)

U.S. PATENT DOCUMENTS EXAMINER DOCUMENT NO. DATE NAME **CLASS** SUBCLASS FILING DATE IF INITIAL APPROPRIATE /J.L./ US-2002/0026394 02/2002 Savage et al. 5,189,608 02/1993 Lyons et al. 5,249,120 09/1993 Foley 5,615,109 03/1997 Eder 5,655,085 08/1997 Ryan et al. 5,712,987 01/1998 Waits et al. 02/1998 5,721,831 Waits et al. 5,819,237 10/1998 Garman 06/1999 5,911,135 Atkins 5,991,743 11/1999 Irving et al. 6,026,382 02/2000 Kalthoff 07/2000 6,085,175 Gugel et al. 6,233,566 05/2001 Levine et al. 6,240,399 05/2001 Frank et al. 6,253,192 06/2001 Corlett et al. 08/2001 6,275,813 Berka 09/2001 6,292,787 Scott et al. 6,360,210 03/2002 Wallman /J.L. 6,393,406 05/2002 Eder FOREIGN PATENTS DOCUMENT NO. DATE COUNTRY CLASS **SUBCLASS** TRANSLATION YES NO OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.) 7J.L./ [1] Kassing, Jay, "Profitability: Growing the Bottom Line," CSI, 1999, 43 pages. [2] "Canadian Bank Mines for Data-based Gold," Action Items, 12/2/97, 2 pages. "Bank of Ireland Selects Compaq AlphaServers to Help Improve Profitability Management," 12/3/98, [3] Compaq, 2 pages. [4] "Marquis Tops 300 MCIF-CRM Data Mart Clients Worldwide," 4/18/00, 2 pages. [5] Curley, Bob, "Royal Bank unearths profitability solution", Bank Systems & Technology, April 2000, /J.L./ 2 pages.

EXAMINER:	/Jessica Lemieux/	DATE CONSIDERED: 12/03/2007
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in		
conformance and not considered. Include copy of this form for next communication to the Applicant.		